

**Stipulated Award
And Order of Expungement
NASD Dispute Resolution**

In the Matter of the Arbitration Between:

Jesse R. Bueno, et al. v. Charles Schwab & Co., Inc. and William Martin

Case Number: 01-00881

REPRESENTATION OF PARTIES

For Claimants:

Howard Wollitz, Esq.
CHARLESTON, REVICH &
WILLIAMS LLP
1840 Century Park East, Third Floor
Los Angeles, CA 90067-2104

For Respondents:

Lowell Haky, Esq.
Charles Schwab & Co., Inc.
Office of Corporate Counsel
101 Montgomery Street
San Francisco, CA 94104

CASE INFORMATION

Statement of Claim filed: March 5, 2001.

Claimants' Uniform Submission Agreement signed: February 15, 2001.

Statement of Answer filed by Respondents: April 23, 2001.

Respondents' Uniform Submission Agreements signed: Charles Schwab & Co., Inc.: April 23, 2001; William Martin: April 30, 2001.

AWARD

The parties have agreed to the following stipulation and order:

- 1) The Claimants dismiss, with prejudice, all claims asserted against Respondents William Martin and Charles Schwab & Co., Inc.
- 2) The Arbitrators recommends the expungement of all reference to the above captioned arbitration from Respondent William Martin's registration records maintained by the NASD Central Registration Depository ("CRD"), with the understanding that pursuant to NASD Notice to Members 99-90, Respondent William Martin must obtain confirmation from a court of competent jurisdiction before the CRD will execute the expungement directive.

OTHER ISSUES CONSIDERED AND DECIDED

The parties have agreed that the Award in this matter may be executed in counterpart and via facsimile signature.

FEEES

Pursuant to the parties' agreement, all forum fees shall be divided equally between Claimants and Charles Schwab & Co., Inc.



Lowell Haky
Attorney for Respondents

2/4/02

Signature/Date



Howard Wollitz
Attorney for Claimants

1-18-02

Signature/Date

Arbitrators' Signatures

Chair, Public Arbitrator

Signature/Date

Public Arbitrator

Signature/Date

Industry Arbitrator

Signature/Date



Lowell Haky
Attorney for Respondents

2/4/02
Signature/Date

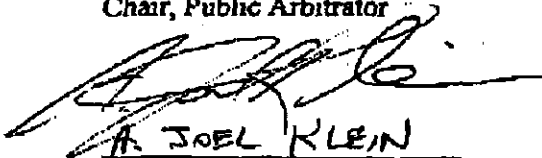


Howard Wollitz
Attorney for Claimants

1-18-02
Signature/Date

Arbitrators' Signatures

Chair, Public Arbitrator


A. JOEL KLEIN

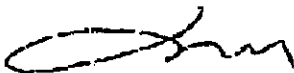
Public Arbitrator

Signature/Date

9/4/02
Signature/Date

Industry Arbitrator

Signature/Date



Lowell Haky
Attorney for Respondents

2/4/02

Signature/Date



Howard Wollitz
Attorney for Claimants

1-18-02

Signature/Date

Arbitrators' Signatures

Chair, Public Arbitrator

Signature/Date



Public Arbitrator
SALVATORE A. SCORTIANO
NRA ID NO A16739

8/24/02

Signature/Date

Industry Arbitrator

Signature/Date



Lowell Haky
Attorney for Respondents

2/4/02
Signature/Date



Howard Wollitz
Attorney for Claimants

1-18-02
Signature/Date

Arbitrators' Signatures

Chair, Public Arbitrator

Signature/Date

Public Arbitrator

Signature/Date

DAVID L. CASTERLINE
Industry Arbitrator

David L. Casterline 08-26-02
Signature/Date