

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION, INC.

CASE:01-01059

Grace M. Langen and George E. Langen, claimants vs. NatCity Investments, Inc. and Quint Dalrymple, respondents.

ATTORNEYS:

Claimants appeared Pro Se, Ann Arbor, MI.

For Respondents appeared Mary Lynn Menta, Compliance Analyst, Cleveland, OH.

DATE FILED: March 2, 2001

CASE SUMMARY: Claimants alleged that due to respondents' failure to process their purchase order as requested and further failure to notify the claimants that the order was not processed created a loss in their account.

Claim Data

Claim: \$25,000.00
Filing Fees: \$.00

Award Data

Award: \$20,304.00
Filing Fees: \$425.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondents are jointly and severally liable and shall pay to the claimant \$20,304.00. 2) All other relief requests are denied. 3) The \$425.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the claimants, shall be retained by NASD Dispute Resolution, Inc. 4) Respondents are jointly and severally liable and shall pay claimants \$425.00 as reimbursement of the filing fee.

OTHER FEES: Pursuant to Rule 10333 of the Code, respondent NatCity Investments, Inc. has not paid to NASD Dispute Resolution, Inc. the \$400.00 Member Surcharge previously invoiced.

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Award 01-01059


William P. Thorpe, Esq.

Sole Public Arbitrator

AFFIRMATION

I, William P. Thorpe, Esq., do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.


William P. Thorpe, Esq.


Signature Date

October 31, 2001
Date of Service (For NASD-DR office use only)