

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION, INC.

CASE: 01-01209

GKN Securities, Corp., Inc., Claimant vs. Lenin McFarlane, Respondent.

ATTORNEYS:

For Claimant appeared Michael C. Colombo, Esq., in-house counsel, New York, NY.

Respondent appeared Pro Se, New York, NY.

DATE FILED: March 12, 2001

CASE SUMMARY: Claimant alleged that respondent failed to repay a promissory note.

Claim Data

Claim: \$4,500.00
Interest: unspecified
Attorney Fees: unspecified
Other: unspecified

Award Data

Award: \$.00
Interest: \$.00
Attorney Fees: \$.00
Other: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of claimant are dismissed in their entirety. 2) All request for interest are denied. 3) All request for Attorney fees are denied. 4) All other relief requests are denied. 5) The \$525.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the claimant, shall be retained by NASD Dispute Resolution, Inc.

OTHER FEES: Pursuant to Rule 10333 of the Code, Claimant has paid to NASD Dispute Resolution, Inc. the \$200.00 Member Surcharge previously invoiced.

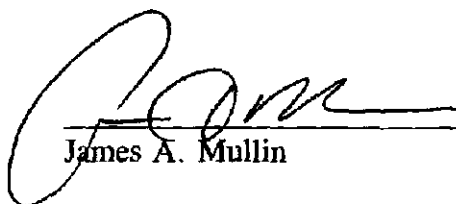
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Award 01-01209

James A. Mullin

Sole Non-Public Arbitrator

AFFIRMATION

I, James A. Mullin, do hereby affirm, pursuant to Article 7507 of the Civil Practice Law and Rules, that I am the individual described herein, and who executed this instrument which is my award.


James A. Mullin

8-9-01
Signature Date

August 17, 2001
Date of Service (for NASD Office Use Only)