

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION, INC.

CASE:01-01269

Thomas A. Scaramuzzo, claimant vs. M.H. Meyerson & Company Inc., and Lawrence Doherty, respondents.

ATTORNEYS:

Claimant appeared Pro Se, Howell, NJ.

For Respondents appeared Phillip T. Frank, Esq., in-house counsel, Jersey City, NJ.

DATE FILED: March 14, 2001

CASE SUMMARY: Claimant alleged that respondents made unauthorized trades in his customer account.

Claim Data

Claim: \$6,441.03
Punitive: \$17,000.00
Interest: \$833.54
Filing Fees: unspecified
Other: unspecified

Award Data

Award: \$.00
Punitive: \$.00
Interest: \$.00
Filing Fees: \$.00
Other: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of claimant are dismissed in their entirety. 2) All requests for interest are denied. 3) All requests for punitive damages are denied. 4) All other relief requests are denied. 5) The \$425.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the claimant, shall be retained by NASD Dispute Resolution, Inc.

OTHER FEES: Pursuant to Rule 10333 of the Code, respondent M.H. Meyerson & Company Inc., has not paid to NASD Dispute Resolution, Inc. the \$400.00 Member Surcharge previously invoiced.

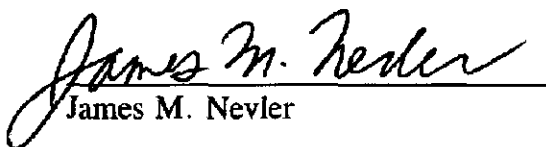
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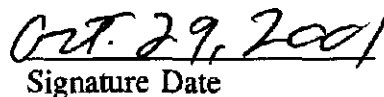
James M. Nevler

Sole Public Arbitrator

AFFIRMATION

I, James M. Nevler, do hereby affirm, pursuant to Article 7507 of the Civil Practice Law and Rules, that I am the individual described herein, and who executed this instrument which is my award.


James M. Nevler


Signature Date

November 8, 2001
Date of Service (For NASD-DR office use only)