

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION, INC.

CASE:01-01285

J.B. Hanauer & Co., claimant vs. Shawn Johansen, respondent.

ATTORNEYS:

For Claimant appeared Alexander J. Anglim, Esq., of the firm Lowenstein Sandler PC, Roseland, NJ.

For Respondent appeared Paul D. Anders, Esq., of the firm LeClair Ryan PC, Richmond, VA.

DATE FILED: March 15, 2001

CASE SUMMARY: Claimant alleged that respondent failed to pay his pro-rata share of an arbitration settlement. Claimant maintains that this amount is still due and owing.

Claim Data

Claim: \$16,101.82
Interest: unspecified
Filing Fees: \$.00
Other: \$.00

Award Data

Award: \$.00
Interest: \$.00
Filing Fees: \$.00
Other: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of claimant are dismissed in their entirety. 2) All requests for interest are denied. 3) All other relief requests are denied. 4) The \$1,050.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the claimant, shall be retained by NASD Dispute Resolution, Inc.

OTHER FEES: Pursuant to Rule 10333 of the Code, claimant J.B. Hanauer & Co. has paid to NASD Dispute Resolution, Inc. the \$400.00 Member Surcharge previously invoiced.

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Award 01-01285

Gregory S. Tendrich, Esq.

Sole Non-Public Arbitrator

AFFIRMATION

I, Gregory S. Tendrich, Esq., do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.



Gregory S. Tendrich, Esq.

10/24/01

Signature Date

November 12, 2001

Date of Service (For NASD-DR office use only)