

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION, INC.

CASE: 01-01301

GKN Securities Inc., claimant vs. Neil Evertsen, respondent.

ATTORNEYS:

For Claimant appeared Michael Colombo, Vice-President, New York, NY.

Respondent appeared Pro Se, New York, NY.

DATE FILED: March 16, 2001

CASE SUMMARY: Claimant alleged that respondent failed to repay the debit balance on and unsecured promissory note upon termination of his employment with the claimant.

Claim Data

Claim: \$4,000.00
Interest: unspecified
Attorney Fees: unspecified
Filing Fees: unspecified
Other: unspecified

Award Data

Award: \$4,000.00
Interest: \$.00
Attorney Fees: \$.00
Filing Fees: \$.00
Other: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable and shall pay to the claimant \$4,000.00. 2) All requests for attorney fees are denied. 3) All requests for interest are denied. 4) All other relief requests are denied. 5) The \$525.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the claimant, shall be retained by NASD Dispute Resolution, Inc.

OTHER FEES: Pursuant to Rule 10333 of the Code, claimant GKN Securities, Inc. has paid to NASD Dispute Resolution, Inc. the \$200.00 Member Surcharge previously invoiced.

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Award 01-01301

Mark Lehman, Esq.

Sole Non-Public Arbitrator

AFFIRMATION

I, Mark Lehman, Esq., do hereby affirm, pursuant to Article 7507 of the Civil Practice Law and Rules, that I am the individual described herein, and who executed this instrument which is my award.



Mark Lehman, Esq.

10-15-01

Signature Date

October 23, 2001

Date of Service (For NASD-DR office use only)