

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION, INC.

CASE:01-01327

Ameritrade, Inc., claimant vs. Magdy Ezzat, respondent.

ATTORNEYS:

For Claimant appeared James J. Vihstadt, in-house counsel, Bellevue, NE.

Respondent did not submit a response to the Statement of Claim.

DATE FILED: March 16, 2001

CASE SUMMARY: Claimant alleged that respondent failed to repay the debit balance in his margin account.

Claim Data

Claim: \$5,970.50
Interest: unspecified

Filing Fees: \$750.00
Other: unspecified

Award Data

Award: \$5,970.50
Interest: at prime rate plus 0.75% per
annum from March 25, 2000
to October 9, 2001.

Filing Fees: \$750.00
Other: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable and shall pay to the claimant \$5,970.50. 2) Respondent is liable and shall pay to the claimant interest at the prime rate plus 0.75% per annum from March 25, 2000 to October 9, 2001. 3) All other relief requests are denied. 4) The \$750.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the claimant, shall be retained by NASD Dispute Resolution, Inc. 5) Respondent is liable and shall pay claimant \$750.00 as reimbursement of the filing fee.

OTHER FEES: Pursuant to Rule 10333 of the Code, claimant Ameritrade, Inc. has paid to NASD Dispute Resolution, Inc. the \$300.00 Member Surcharge previously invoiced.

OTHER ISSUES: Pursuant to the By-Laws of NASD Dispute Resolution, the arbitrator determined that respondent Magdy Ezzat was served notice of the Statement of Claim by regular mail, Overdue Notice and Notification of Arbitrator by certified mail, and is therefore bound by the arbitrator's ruling and determination.

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Keely D. Parr, Esq.

Sole Public Arbitrator

AFFIRMATION

I, Keely D. Parr, esq., do hereby affirm, pursuant to Article 7507 of the Civil Practice Law and Rules, that I am the individual described herein, and who executed this instrument which is my award.



Keely D. Parr, Esq.

Nov. 30, 2001

Signature Date

December 13, 2001

Date of Service (For NASD-DR office use only)