

**NASD DISPUTE RESOLUTION AWARD**  
**NASD DISPUTE RESOLUTION, INC.**

CASE:01-01334

Ameritrade, Inc., claimant vs. Leesein Dang, respondent.

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**ATTORNEYS:**

For Claimant appeared James J. Vihstandt, Esq., in-house counsel, Bellevue, NE.

Respondent did not submit a response to the Statement of Claim.

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DATE FILED: March 19, 2001

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**CASE SUMMARY:** Claimant alleged that respondent failed to repay the debit balance in his customer account.

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**Claim Data**

Claim: \$13,868.82

Interest: unspecified

Filing Fees: unspecified

Other: unspecified

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**Award Data**

Award: \$13,868.82

Interest: at 10% per annum from  
January 11, 2000 until date of  
payment of the award.

Filing Fees: \$1,050.00

Other: \$.00

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**AWARD:** The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable and shall pay to the claimant \$13,868.82. 2) Respondent is liable and shall pay to the claimant interest at a rate of 10% per annum from January 11, 2000 until date of payment of the award. 3) All other relief requests are denied. 4) The \$1,050.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the claimant, shall be retained by NASD Dispute Resolution, Inc. 5) Respondent is liable and shall pay claimant \$1,050.00 as reimbursement of the filing fee.

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**OTHER FEES:** Pursuant to Rule 10333 of the Code, claimant has paid to NASD Dispute Resolution, Inc. the \$400.00 Member Surcharge previously invoiced.

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**OTHER ISSUES:** Pursuant to the By-Laws of NASD Dispute Resolution, the arbitrator determined that respondent was served notice of the Statement of Claim by regular mail, Overdue Notice and Notification of Arbitrator by certified mail, and is therefore bound by the arbitrator's ruling and determination.


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Award 01-01334

Kevin K. Forrester, Esq.

Sole Public Arbitrator

**AFFIRMATION**

I, Kevin K. Forrester, Esq., do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.

  
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Kevin K. Forrester, Esq.

10/5/01  
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Signature Date

October 17, 2001  
\_\_\_\_\_  
Date of Service (For NASD-DR office use only)