

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION, INC.

CASE: 01-01421

Investin Securities Corp., claimant vs. Susanto Susanto, respondent.

ATTORNEYS:

For claimant appeared Lisa Duncan, in-house counsel, Dallas, TX.

Respondent did not appear.

DATE FILED: March 21, 2001

CASE SUMMARY: Claimant alleged respondent failed to repay a debit balance in his account.

Claim Data

Claim: \$4,202.05

Interest: unspecified

Attorney Fees: unspecified

Filing Fees: unspecified

Other: unspecified

Award Data

Award: \$4,202.05

Interest: 6% per annum from 7/27/00
to present

Attorney Fees: \$.00

Filing Fees: \$262.50

Other: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable and shall pay to the claimant \$4,202.05. 2) All requests for attorney fees are denied. 3) Respondent is liable and shall pay claimant interest at a rate of 6% per annum from July 27, 2000 to payment of award. 4) All other relief requests are denied. 5) The \$525.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the claimant, shall be retained by NASD Dispute Resolution, Inc. 6) Respondent is liable and shall pay claimant \$262.50 as reimbursement of one-half of the filing fee.

OTHER FEES: Pursuant to Rule 10333 of the Code, claimant has paid to NASD Dispute Resolution, Inc. the \$200.00 Member Surcharge previously invoiced.

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Award 01-01421

Marlyne Rinaldi

Sole Public Arbitrator

AFFIRMATION

I, Marlyne Rinaldi, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.

Marlyne Rinaldi
Marlyne Rinaldi

12-21-01
Signature Date

January 22, 2002
Date of Service (For NASD-DR office use only)