

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION, INC.

CASE:01-01465

Ameritrade, Inc., claimant vs. Danny J. Jones and Carla J. Jones, respondents.

ATTORNEYS:

For Claimant appeared James J. Vihstadt, Esq., in-house counsel, Bellevue, NE.

Respondents failed to submit a response to the Statement of Claim.

DATE FILED: March 23, 2001

CASE SUMMARY: Claimant alleged that respondents failed to pay the unsecured debit balance in their margin account.

Claim Data

Claim: \$12,450.59
Interest: unspecified
Attorney Fees: unspecified
Filing Fees: unspecified
Other: unspecified

Award Data

Award: \$.00
Interest: \$.00
Attorney Fees: \$.00
Filing Fees: \$.00
Other: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of claimant are dismissed in their entirety. 2) All requests for attorney fees are denied. 3) All requests for interest are denied. 4) All other relief requests are denied. 5) The \$1,050.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the claimant, shall be retained by NASD Dispute Resolution, Inc.

OTHER FEES: Pursuant to Rule 10333 of the Code, respondent has paid to NASD Dispute Resolution, Inc. the \$400.00 Member Surcharge previously invoiced.

OTHER ISSUES: Pursuant to the By-Laws of NASD Dispute Resolution, the arbitrator determined that respondents was served notice of the Statement of Claim by regular mail, Overdue Notice and Notification of Arbitrator by certified mail, and is therefore bound by the arbitrator's ruling and determination.

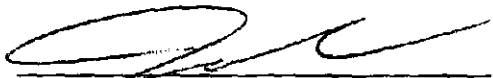
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Jack A. Wodock

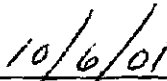
Sole Public Arbitrator

AFFIRMATION

I, Jack A. Wodock, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.



Jack A. Wodock



Signature Date

10/16/01
Date of Service (For NASD-DR office use only)