

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION, INC.

CASE: 01-01564

Prudential Securities Incorporated, Claimant vs. Howard Leon, Respondent.

ATTORNEYS:

For Claimant appeared Steven Gomberg, Esq., of the firm Rooks, Pitts & Poust Chicago, IL.

Respondent did not submit an answer to the Statement of Claim.

DATE FILED: March 29, 2001

CASE SUMMARY: Claimant alleged that Respondent breach their contractual agreement and failed to repay a promissory note.

Claim Data

Claim: \$7,378.21
Interest: Pre-judgment @7% per annum

Attorney Fees: \$1,018.00
Filing Fees: \$1,050.00
Other Costs: \$16.40

Award Data

Award: \$7,378.21
Interest: at 7% per annum from
1/14/00 to 11/27/01 at \$1.41 per day for 682
days totaling \$961.62
Attorney Fees: \$.00
Filing Fees: \$.00
Other Costs: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable and shall pay to the Claimant \$7,378.21. 2) Respondent is liable and shall pay to the claimant interest at the rate of 7% per annum from 1/14/00 to 11/27/01 at \$1.41 per day for 682 days totaling \$961.62. 3) All requests for attorney fees are denied. 4) All other relief requests are denied. 5) The \$750.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the claimant, shall be retained by NASD Dispute Resolution, Inc.

OTHER FEES: Pursuant to Rule 10333 of the Code, Claimant has paid to NASD Dispute Resolution, Inc. the \$300.00 Member Surcharge previously invoiced.

OTHER ISSUES: Pursuant to the By-Laws of NASD Dispute Resolution, the arbitrator determined that Respondent, Howard Leon was served notice of the Statement of Claim by regular mail, Overdue Notice and Notification of Arbitrator by certified mail, as evidenced by the signed signature card on file and is therefore bound by the arbitrator's ruling and determination.

Page Two
Award 01-01564

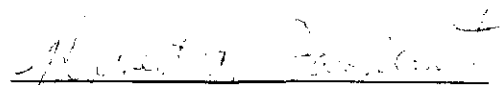
Robert A. Fabricant

-

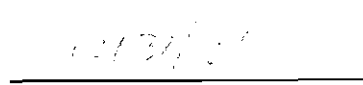
Sole Non-Public Arbitrator

AFFIRMATION

I, Robert A. Fabricant, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.



Robert A. Fabricant



Signature Date

January 11, 2002
Date of Service (For NASD-DR office use only)