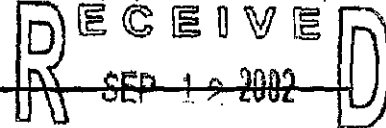


Award
NASD Dispute Resolution, Inc.

NASD Dispute Resolution, Inc.



In the Matter of the Arbitration Between:

Daniel Prior and Mildred Prior vs. OLDE Discount Corporation (now known as H & R Block Financial Advisors, Inc.) and Trent Friederich

Case Number: 01-01599

Hearing Site: Chicago, IL

REPRESENTATION OF PARTIES

Claimants, Daniel Prior and Mildred Prior, hereinafter collectively referred to as "Claimants" by John Elson, Esq., Northwestern University Legal Clinic, Chicago, Illinois.

Respondents, H&R Block Financial Advisors, Inc. (formerly known as OLDE Discount Corporation) and Trent Friederich, hereinafter (collectively) referred to as "Respondents" by Howard M. Klausmeier, Esq. and David T. Doyle, Esq. in-house counsel for H&R Block Financial Advisors, Inc., Detroit, Michigan.

CASE INFORMATION

Statement of Claim filed on or about: February 28, 2001

Claimants, (*Daniel Prior and Mildred Prior*), signed the Uniform Submission Agreement February 28, 2001

Statement of Answer filed by Respondents, (*H R Block Financial Advisors, Inc. fka OLDE Discount Corporation and Trent Friederich*), on or about: April 30, 2001

Respondent, (*OLDE Discount Corporation*), signed the Uniform Submission Agreement: April 24, 2001

Respondent, (*Trent Friederich*), signed Uniform Submission Agreement April 30, 2001

CASE SUMMARY

Claimants asserted the following causes of action: Breach of Fiduciary Duty, Material Misrepresentations, Mishandling of Account, and Unsuitable Investment.

Unless specifically admitted in its Answer, Respondents (*OLDE Discount Corporation and Trent Friederich*) denied the allegations made in the Statement of Claim and asserted the following defenses: Claimants attempted to recover unrealized and non-existent losses from Certificates of Deposit ("CDs") they purchased after making an own informed, reasoned and deliberate investment decision.

RELIEF REQUESTED

Claimants requested: damages in the amount of \$10,000 plus attorney's fees.

Respondents (*OLDE Discount Corporation and Trent Friederich*) requested: Claims be dismissed in its entirety with prejudice, Claimants may not recover punitive damages, exemplary damages, or attorney's fees as the recovery of such damages is not permitted under applicable federal and state law and that all reference to this matter be expunged from the CRD record of Mr. Friederich.

OTHER ISSUES CONSIDERED AND DECIDED

The parties have agreed that the Award in this matter may be executed in counterpart copies or that a handwritten, signed Award may be entered. In either case, the parties have agreed to receive conformed copies of the Award while the original(s) remain on file with the NASD.

A Stipulation to Dismiss and Expunge the Registered Representative's Record was filed with the NASD.

AWARD

After considering the parties' submissions and representations, but without making any findings of fact or conclusions of law, the undersigned arbitrators order as follows:

- 1) Claimants' withdrawal of their claims is accepted and Respondents are dismissed from this matter with prejudice.
- 2) The matter is settled (subject to any conditions of a settlement agreement) between the remaining parties
- 3) The parties shall each bear their own costs, subject to any settlement agreement, except for those specifically not included herein; and
- 4) The panel recommends the expungement of all reference to the above captioned arbitration from Respondent Friederich's registration records maintained by the NASD Central Registration Depository ("CRD"), with the understanding that pursuant to NASD Notice to Members 99-09, Respondent Friederich must obtain confirmation from a court of competent jurisdiction before the CRD will execute the expungement directive; and
- 5) That any relief not specifically enumerated, including punitive damages is hereby denied with prejudice.

FEES

Pursuant to the Code, the following fees are assessed:

Filing Fees

NASD Dispute Resolution, Inc. will retain or collect the non-refundable filing fees for each claim:

Initial claim filing fee = \$75.00

Member Fees

Member fees are assessed to each member firm that is a party in these proceedings or to the member firm that employed the associated person at the time of the events giving rise to the dispute. OLDE Discount Corp. is a party.

Member surcharge	= \$ 300.00
Pre-hearing process fee	= \$ 600.00
Total	= \$ 900.00

Fee Summary

1. Claimants Daniel Prior and Mildred Prior are jointly and severally liable for:

Initial Filing Fee	= \$ 75.00
Total Fees	= \$ 75.00
Less payments	= \$ 75.00
Balance Due NASD Dispute Resolution, Inc.	= \$ 0.00

2. Respondent OLDE Discount Corporation is solely liable for:

Member Fees	= \$ 900.00
Total Fees	= \$ 900.00
Less payments	= \$ 900.00
Balance Due NASD Dispute Resolution, Inc.	= \$ 0.00

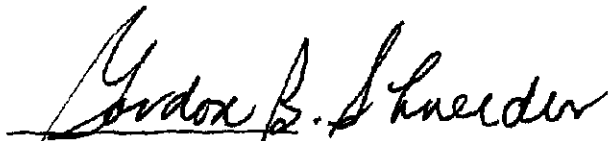
All balances are payable to NASD Dispute Resolution, Inc. and are due upon receipt pursuant to Rule 10330(g) of the Code.

ARBITRATION PANEL

Gordon B. Shneider, Esq.

Public Arbitrator, Presiding Chairperson

Arbitrator's Signature



Gordon B. Shneider, Esq.
Public Arbitrator, Presiding Chairperson

9/12/02
Signature Date

9/13/02
Date of Service (For NASD Dispute Resolution office use only)