

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION, INC.

CASE:01-01686

Multi-Financial Securities Corporation, claimant vs. James J. Albright, respondent.

ATTORNEYS:

For Claimant appeared Jennifer L. Carr, Esq, of the firm Rothgerber Johnson & Lyons, LLP, Denver, CO.

Respondent appeared Pro Se, Racine, WI.

DATE FILED: April 4, 2001

CASE SUMMARY: Claimant alleged that respondent breached his employment contract when he failed to repay an unsecured promissory note.

Claim Data

Claim: \$9,426.91

Interest: \$183.18

Attorney Fees: unspecified

Filing Fees: unspecified

Other: \$.00

Award Data

Award: \$9,426.91

Interest: at 8% per annum from
January 19, 2001 until date of
payment of the award.

Attorney Fees: \$500.00

Filing Fees: \$375.00

Other: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable and shall pay to the claimant \$9,426.91. 2) Respondent is liable and shall pay to the claimant interest at a rate of 8% per annum from January 19, 2001 until date of payment of this award. 3) Respondent is liable and shall pay to the claimant attorney's fees in the amount of \$500.00 as terms of promissory note agreement. 4) All other relief requests are denied. 5) The \$750.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the claimant, shall be retained by NASD Dispute Resolution, Inc. 6) Respondent is liable and shall pay claimant \$375.00 as reimbursement of one-half of the filing fee.

OTHER FEES: Pursuant to Rule 10333 of the Code, claimant Multi-Financial Securities Corporation has paid to NASD Dispute Resolution, Inc. the \$300.00 Member Surcharge previously invoiced.

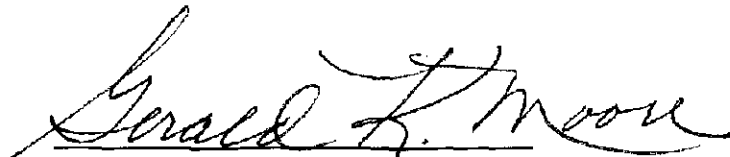
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Gerald K. Moore

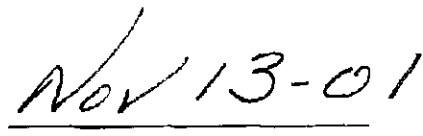
Sole Non-Public Arbitrator

AFFIRMATION

I, Gerald K. Moore, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.



Gerald K. Moore



Signature Date

November 27, 2001

Date of Service (For NASD-DR office use only)