

**NASD DISPUTE RESOLUTION AWARD**  
**NASD DISPUTE RESOLUTION, INC.**

CASE:01-01707

Charles Schwab & Co., Inc., claimant vs. Eve E. Mezey, respondent.

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**ATTORNEYS:**

For Claimant Brandon K. Hemley, Esq., in-house counsel, San Francisco, CA.

Respondent did not respond to the Statement of Claim.

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DATE FILED: April 5, 2001

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**CASE SUMMARY:** Claimant alleged that respondent failed to repay the debit balance in her account after a margin call was made.

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**Claim Data**

Claim: \$22,978.76  
Filing Fees: \$1,450.00  
Interest: from March 1, 2001  
until payment of the  
principal.

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**Award Data**

Award: \$11,489.38  
Filing Fees: \$525.00  
Interest: 9.25% per annum  
from March 1, 2001  
until date that award is paid in  
full.

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**AWARD:** The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable and shall pay to the claimant \$11,489.38. 2) Respondent is liable and shall pay to the claimant interest at a rate of 9.25% per annum from March 1, 2001 until date of payment of the award. 3) All other relief requests are denied. 4) The \$1,050.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the claimant, shall be retained by NASD Dispute Resolution, Inc. 5) Respondent is liable and shall pay claimant \$525.00 as reimbursement of one half of the filing fee.

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**OTHER FEES:** Pursuant to Rule 10333 of the Code, claimant has paid to NASD Dispute Resolution, Inc. the \$400.00 Member Surcharge previously invoiced.

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**OTHER ISSUES:** Pursuant to the By-Laws of NASD Dispute Resolution, the arbitrator determined that respondent, Eve E. Mezey was served notice of the Statement of Claim, Overdue Notice and Notification of Arbitrator by regular mail, and is therefore bound by the arbitrator's ruling and determination.

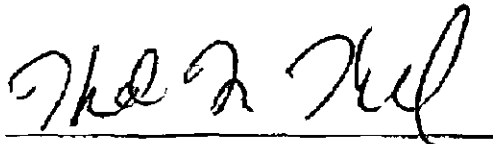
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Award 01-01707

Howard N. Hamel, J.D.

Sole Public Arbitrator

**AFFIRMATION**

I, Howard N. Hamel, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.

  
Howard N. Hamel, J.D.

  
Signature Date

August 9, 2001  
Date of Service (for NASD Office Use Only)