

**NASD DISPUTE RESOLUTION AWARD**  
**NASD DISPUTE RESOLUTION, INC.**

CASE:01-01793

Ameritrade, Inc., claimant vs. Paik K. Hong, respondent.

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**ATTORNEYS:**

For Claimant appeared James J. Vihstadt, Esq., in-house counsel, Bellevue, NE.

Respondent appeared Pro Se, Annandale, VA.

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DATE FILED: April 9, 2001

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**CASE SUMMARY:** Claimant alleged that respondent failed to repay the debit balance on his individual margin account.

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**Claim Data**

Claim: \$8,804.37

Interest: unspecified

Filing Fees: unspecified

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**Award Data**

Award: \$8,804.37

Interest: at 10% per annum from June 1, 1999 until date of payment of this award.

Filing Fees: \$750.00

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**AWARD:** The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable and shall pay to the claimant \$8,804.37. 2) Respondent is liable and shall pay to the claimant interest at a rate of 10% per annum from June 1, 1999 until date of payment of this award. 3) All other relief requests are denied. 4) The \$750.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the claimant, shall be retained by NASD Dispute Resolution, Inc. 5) Respondent is liable and shall pay claimant \$750.00 as reimbursement of the filing fee.

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**OTHER FEES:** Pursuant to Rule 10333 of the Code, claimant has paid to NASD Dispute Resolution, Inc. the \$300.00 Member Surcharge previously invoiced.

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Award 01-01793

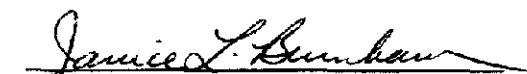
Janice L. Burnham, Esq.

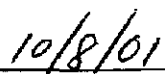
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Sole Public Arbitrator

**AFFIRMATION**

I, Janice L. Burnham, Esq., do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.

  
Janice L. Burnham, Esq.

  
Signature Date

October 17, 2001  
Date of Service (For NASD-DR office use only)