

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION, INC.

CASE:01-01794

Renee C. Babenzien, Trustee of the Renee C. Babenzien Trust, claimant vs. Morgan Stanley Dean Witter, Inc. and Mark Maffei, respondents.

ATTORNEYS:

Claimant appeared Pro Se, West Palm Beach, FL.

For Respondents appeared Keith Guilfoyle, Esq., in-house counsel, New York, NY.

DATE FILED: April 9, 2001

CASE SUMMARY: Claimant alleged that respondent failed to execute a sell order of Motorola Stock, thereby causing a loss in her account.

Claim Data

Claim: \$2,250.00

Filing Fees: \$75.00

Award Data

Award: \$.00

Filing Fees: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of claimant are dismissed in their entirety. 2) All other relief requests are denied. 3) The \$75.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the claimant, shall be retained by NASD Dispute Resolution, Inc.

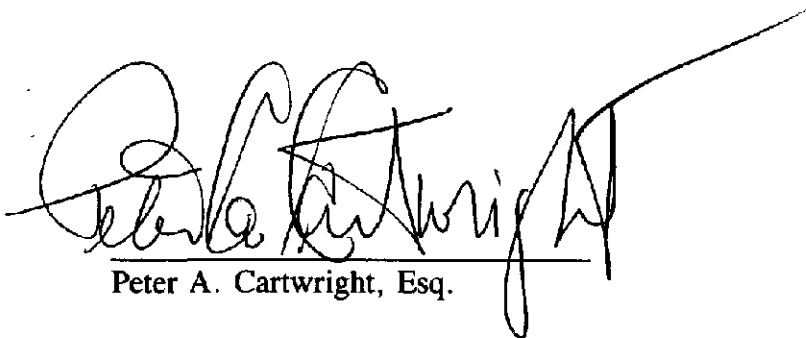
OTHER FEES: Pursuant to Rule 10333 of the Code, respondent Morgan Stanley Dean Witter, Inc. has paid to NASD Dispute Resolution, Inc. the \$150.00 Member Surcharge previously invoiced.

Peter A. Cartwright, Esq.

Sole Public Arbitrator

AFFIRMATION

I, Peter A. Cartwright, Esq., do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.



Peter A. Cartwright, Esq.

Sept 22 2001
Signature Date

October 17, 2001
Date of Service (For NASD-DR office use only)