

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION, INC.

CASE:01-01796

Stockwalk.com, Inc., claimant vs. Gil A. Neveaux, respondent.

ATTORNEYS:

For Claimant appeared Claire M. Hames, Esq., of the firm, Miller Johnson Steichen Kinnard, Minneapolis, MN.

Respondent did not submit an answer to the Statement of Claim.

DATE FILED: April 9, 2001

CASE SUMMARY: Claimant alleged that respondent failed to repay the debit balance in his customer account.

Claim Data

Claim: \$8,035.00
Interest: unspecified

Attorney Fees: unspecified
Filing Fees: unspecified
Other: unspecified

Award Data

Award: \$8,035.00
Interest: at 9% per annum from March 1, 2001 until date of payment of the award.
Attorney Fees: \$1,000.00
Filing Fees: \$750.00
Other: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable and shall pay to the claimant \$8,035.00. 2) Respondent is liable and shall pay to the claimant interest at a rate of 9% per annum from March 1, 2001 until the date of payment of this award. 3) Respondent is liable and shall pay to the claimant attorney's fee in the amount of \$1,000.00 as per contractual agreement. 4) All other relief requests are denied. 5) The \$750.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the claimant, shall be retained by NASD Dispute Resolution, Inc. 6) Respondent is liable and shall pay claimant \$750.00 as reimbursement of the filing fee.

OTHER FEES: Pursuant to Rule 10333 of the Code, claimant has paid to NASD Dispute Resolution, Inc. the \$300.00 Member Surcharge previously invoiced.

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Award 01-01796

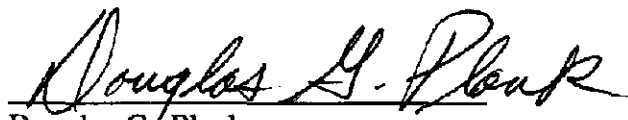
OTHER ISSUES: Pursuant to the By-Laws of NASD Dispute Resolution, the arbitrator determined that respondent was served notice of the Statement of Claim by regular mail, Overdue Notice and Notification of Arbitrator by certified mail, and is therefore bound by the arbitrator's ruling and determination.

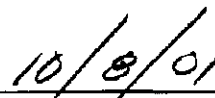
Douglas G. Plank

Sole Public Arbitrator

AFFIRMATION

I, Douglas G. Plank, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.


Douglas G. Plank


Signature Date

October 17, 2001
Date of Service (For NASD-DR office use only)