

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION, INC.

CASE:01-02067

Ameritrade, Inc., claimant vs. Rick Lee Jakobsen, respondent.

ATTORNEYS:

For Claimant appeared James J. Vihstadt, Esq., in-house counsel, Bellevue, NE.

Respondent did not submit a response to the Statement of Claim.

DATE FILED: April 24, 2001

CASE SUMMARY: Claimant alleged that respondent failed to repay the unsecured debit balance on his account.

Claim Data

Claim: \$9,509.41

Interest: unspecified

Filing Fees: unspecified

Other: unspecified

Award Data

Award: \$9,509.41

Interest: at 7% per annum from March
20, 2000 to October 3, 2001.

Filing Fees: \$750.00

Other: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable and shall pay to the claimant \$9,509.41. 2) Respondent is liable and shall pay to the claimant interest at a rate of 7% per annum from March 20, 2000 to October 3, 2001. 3) All other relief requests are denied. 4) The \$750.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the claimant, shall be retained by NASD Dispute Resolution, Inc. 5) Respondent is liable and shall pay claimant \$750.00 as reimbursement of the filing fee.

OTHER FEES: Pursuant to Rule 10333 of the Code, claimant Ameritrade, Inc. has paid to NASD Dispute Resolution, Inc. the \$300.00 Member Surcharge previously invoiced.

OTHER ISSUES: Pursuant to the By-Laws of NASD Dispute Resolution, the arbitrator determined that respondent Rick Lee Jakobsen was served notice of the Statement of Claim by regular mail, Overdue Notice and Notification of Arbitrator by certified mail, and is therefore bound by the arbitrator's ruling and determination.

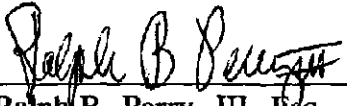
Page Two
Award 01-02067

Ralph B. Perry, III, Esq.

Sole Public Arbitrator

AFFIRMATION

I, Ralph B. Perry, III, Esq., do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.



Ralph B. Perry, III, Esq.

Oct. 15, 2001

Signature Date

October 29, 2001

Date of Service (For NASD-DR office use only)