

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION, INC.

CASE: 01-02247

George H. Bane, claimant vs. Ameritrade, Inc., respondent.

ATTORNEYS:

Claimant appeared pro se., Delray Beach, FL.

For Respondent appeared James J. Vihstadt, Regulatory Counsel, Bellevue, NE.

DATE FILED: May 1, 2001

CASE SUMMARY: Claimant alleged that respondent improperly charged his account \$1,687.50 due to a short position of 9,000 shares of Honeywell stock. Claimant maintained that this charge was incorrect because the ex-dividend date of Honeywell's shares was 11/20/2000 and the charge occurred 11/17/2000.

Claim Data

Claim: \$1,687.50
Interest: From 12/18/2000
Filing Fees: \$75.00

Award Data

Award: \$.00
Interest: \$.00
Filing Fees: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of claimant are dismissed in their entirety. 2) All requests for interest are denied. 3) All other relief requests are denied. 4) The \$75.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the claimant, shall be retained by NASD Dispute Resolution, Inc.

OTHER FEES: Pursuant to Rule 10333 of the Code, respondent has paid to NASD Dispute Resolution, Inc. the \$150.00 Member Surcharge previously invoiced.

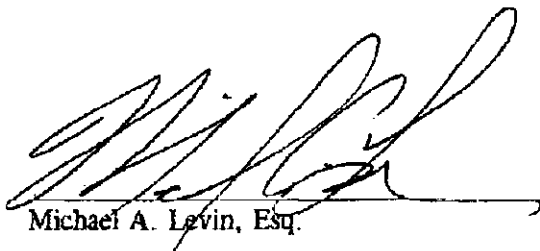
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Award 01-02247

Michael A. Levin, Esq.

Sole Public Arbitrator

AFFIRMATION

I, Michael A. Levin, Esq., do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.


Michael A. Levin, Esq.

12-19-01
Signature Date

December 20, 2001
Date of Serve of Award (For NASD office use only)