

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION, INC.

CASE:01-02357

Fidelity Brokerage Service, Inc., claimant vs. Mohamed Khaled Mansour and Stephanie R. Trapp, respondents.

ATTORNEYS:

For Claimant appeared Laurence K. Richmond, Esq., of the firm Laurence K. Richmond & Associates, P.C., Quincy, MA.

Respondents did not submit a response to the Statement of Claim.

DATE FILED: May 7, 2001

CASE SUMMARY: Claimant alleged that respondents failed to repay the debit balance in their margin account.

Claim Data

Claim: \$22,592.42
Interest: unspecified

Attorney Fees: unspecified

Filing Fees: unspecified
Other: unspecified

Award Data

Award: \$22,592.42
Interest: at 9% per annum from August 4, 1999 until the date of payment of the award.
Attorney Fees: \$1,500.00 as per term of customers agreement contract.

Filing Fees: \$550.00
Other: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondents are jointly and severally liable and shall pay to the claimant \$22,592.42. 2) Respondents are jointly and severally liable and shall pay to the claimant interest at a rate of 9% per annum from August 4, 1999 until the date of payment of the award. 3) Requests for attorney fees are granted in the amount of \$1,500.00 as per terms of customers agreement contract. 4) All other relief requests are denied. 5) The \$1,050.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the claimant, shall be retained by NASD Dispute Resolution, Inc. 6) Respondents are jointly and severally liable and shall pay claimant \$550.00 as reimbursement of part of the filing fee.

OTHER FEES: Pursuant to Rule 10333 of the Code, claimant Fidelity Brokerage Services, Inc., has paid to NASD Dispute Resolution, Inc. the \$400.00 Member Surcharge previously invoiced.

OTHER ISSUES: Pursuant to the By-Laws of NASD Dispute Resolution, the arbitrator determined that respondents Mohamed Khaled Mansour and Stephanie R. Trapp were served notice of the Statement of Claim by regular mail, Overdue Notice and Notification of Arbitrator by certified mail, and is therefore bound by the arbitrator's ruling and determination.

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William Barrett, III, CPA

Sole Public Arbitrator

AFFIRMATION

I, William Barrett, III, CPA, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.

William C Barrett
William Barrett, III, CPA

21 October, 2001
Signature Date

November 1, 2001
Date of Service (For NASD-DR office use only)