

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION, INC.

CASE:01-02422

CSFBdirect, Inc., claimant vs. Sahidul Haque, respondent.

ATTORNEYS:

For Claimant appeared Todd Atkins, Esq., of the firm Keesal, Young & Logan, Long Beach, CA.

Respondent appeared pro se, Marina del Rey, CA.

DATE FILED: May 9, 2001

CASE SUMMARY: Claimant alleged that respondent failed to repay the debit balance on his account that was created after his check was returned due to insufficient funds and the stocks sold in his account did not cover the balance.

Claim Data

Claim: \$14,332.28

Interest: at 10% per annum from November 20, 2000 until date of the award.

Attorney Fees: unspecified

Filing Fees: unspecified

Other: unspecified

Award Data

Award: \$14,332.28

Interest: at 10% per annum from November 20, 2000 until the date of this award.

Attorney Fees: \$1,500.00

Filing Fees: \$1,050.00

Other: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable and shall pay to the claimant \$14,332.28. 2) Respondent is liable and shall pay to the claimant interest at a rate of 10% per annum from November 20, 2000 until the date of this award. 3) Respondent is liable and shall pay to the claimant attorney fees in the amount of \$1,500.00, pursuant to Paragraph 6 of the Customer Agreement. 4) All other relief requests are denied. 5) The \$1,050.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the claimant, shall be retained by NASD Dispute Resolution, Inc. 6) Respondent is liable and shall pay claimant \$1,050.00 as reimbursement of the filing fee.

OTHER FEES: Pursuant to Rule 10333 of the Code, claimant CSFBdirect, Inc. has paid to NASD Dispute Resolution, Inc. the \$400.00 Member Surcharge previously invoiced.

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Award 01-02422

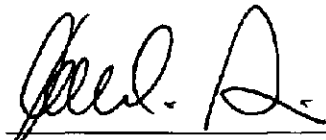
Edwin C. Shiver, Esq.

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Sole Public Arbitrator

AFFIRMATION

I, Edwin C. Shiver, Esq., do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.



Edwin C. Shiver, Esq.

11/23/2001

Signature Date

December 6, 2001

Date of Service (For NASD-DR office use only)