

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION, INC.

CASE: 01-02459

Stefania Stukovsky, claimant vs. Lynn Di Sarro, respondent.

ATTORNEYS:

Claimant appeared pro se, Wasco, CA.

Respondent appeared pro se, Aliso Viejo, CA.

DATE FILED: May 10, 2001

CASE SUMMARY: Claimant alleged that respondent traded her account excessively and charged her inappropriately for commissions. Claimant further alleged that respondent engaged in unauthorized trading by conducting trades after being instructed to cease doing so. Claimant also alleged that respondent failed to comply with instructions related to specific purchases and sales of certain equities. Claimant maintained that these activities caused her to suffer losses in her account.

Claim Data

Claim: \$2,154.05
Other: Unspecified

Award Data

Award: \$.00
Other: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of claimant are dismissed in their entirety. 2) All other relief requests are denied. 3) The \$75.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the claimant, shall be retained by NASD Dispute Resolution, Inc.

OTHER FEES: Pursuant to Rule 10333 of the Code, American Income Securities has paid to NASD Dispute Resolution, Inc. the \$150.00 Member Surcharge previously invoiced.

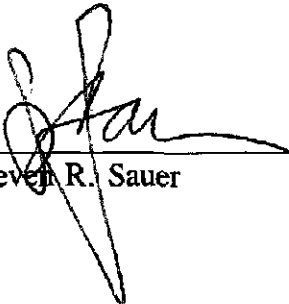
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Award 01-02459

Steven R. Sauer

Sole Public Arbitrator

AFFIRMATION

I, Steven R. Sauer, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.



Steven R. Sauer

Feb 19, 2002
Signature Date

February 28, 2002
Date of Service (For NASD-DR office use only)