

**NASD DISPUTE RESOLUTION AWARD**  
**NASD DISPUTE RESOLUTION, INC.**

CASE: 01-02653

Stanley George, claimant vs. Continental Broker-Dealer Corp. and Greg Lewis, respondents.

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**ATTORNEYS:**

Claimant appeared pro se, Rapid City, SD.

For Respondents appeared Dominick Bianco, Chief Compliance Officer of Continental-Broker Dealer Corp., Carle Place, NY.

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DATE FILED: May 21, 2001

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**CASE SUMMARY:** Claimant alleged respondents purchased securities without his authorization and also failed to sell shares of CMGI at his request.

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**Claim Data**

Claim: \$13,280.00

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**Award Data**

Award: \$.00

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**AWARD:** The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of claimant are dismissed in their entirety. 2) All other relief requests are denied. 3) The \$425.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the claimant, shall be retained by NASD Dispute Resolution, Inc.

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**OTHER FEES:** Pursuant to Rule 10333 of the Code, respondent Continental Broker-Dealer Corp. has paid to NASD Dispute Resolution, Inc. the \$400.00 Member Surcharge previously invoiced.

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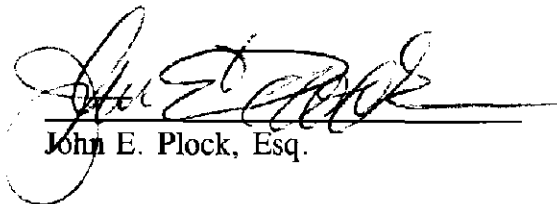
Page Two  
Award 01-02653

John E. Plock, Esq.

Sole Public Arbitrator

**AFFIRMATION**

I, John E. Plock, Esq., do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.

  
John E. Plock, Esq.

12-14-01  
Signature Date

January 9, 2002  
Date of Service (For NASD-DR office use only)