

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION, INC.

CASE: 01-02699

Svetozar S. Stukovsky, Claimant vs. Frank Hickcox, II, GSP, Respondent.

ATTORNEYS:

Claimant, Svetokar S. Stukovsky, appeared Pro Se, Wasco, California.

Respondent, Frank H. Hickcox, II, GSP, did not respond to the Statement of Claim.

DATE FILED: May 22, 2001

CASE SUMMARY: Claimant alleged that respondent breached fiduciary duties. Claimant specifically alleged that respondent bought securities and neglected to pay for them despite the fact that claimant had enough funds available to pay for the transaction.

Claim Data

Claim: \$3,150.00
Punitive: \$1,750.00
Filing Fees: unspecified
Other costs: \$900.00

Award Data

Award: \$.00
Punitive: \$.00
Filing Fees: \$.00
Other costs: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of claimant are dismissed in their entirety. 2) All requests for punitive damages are denied. 3) All other relief requests are denied. 4) The \$175.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the Claimant, shall be retained by NASD Dispute Resolution, Inc.

OTHER FEES: Pursuant to Rule 10333 of the Code, American Income Securities has not paid to NASD Dispute Resolution, Inc. the \$200.00 Member Surcharge previously invoiced.

OTHER ISSUES: Pursuant to the By-Laws of NASD Dispute Resolution, the arbitrator determined that Respondent, Frank H. Hickcox, II, GSP, was served notice of the Statement of Claim by regular mail, Overdue Notice and Notification of Arbitrator by certified mail, as evidenced by the signed signature card on file and is therefore bound by the arbitrator's ruling and determination.

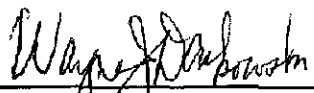
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Wayne J. Dankowski

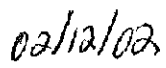
Sole Public Arbitrator

AFFIRMATION

I, Wayne J. Dankowski, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.



Wayne J. Dankowski



Signature Date

February 26, 2002
Date of Service (For NASD-DR office use only)