

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION, INC.

CASE:01-02878

Arnold Gussoff, claimant vs. Quick & Reilly, respondent.

ATTORNEYS:

Claimant appeared Pro Se, White Plains, NY.

For Respondent, Samantha E. Kefford, Esq., in-house counsel, New York, NY.

DATE FILED: May 31, 2001

CASE SUMMARY: Claimant alleged that respondent charged an excessively high execution price for his Delta bond purchase.

Claim Data

Claim: \$1,537.50
Filing Fees: \$75.00
Other costs: \$150.00

Award Data

Award: \$.00
Filing Fees: \$.00
Other costs: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of claimant are dismissed in their entirety. 2) All other relief requests are denied. 3) The \$75.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the claimant, shall be retained by NASD Dispute Resolution, Inc.

OTHER FEES: Pursuant to Rule 10333 of the Code, respondent Quick & Reilly has paid to NASD Dispute Resolution, Inc. the \$150.00 Member Surcharge previously invoiced.

Page Two
Award 01-02878

Donald G. Kennedy

Sole Public Arbitrator

AFFIRMATION

I, Donald G. Kennedy, do hereby affirm, pursuant to Article 7507 of the Civil Practice Law and Rules, that I am the individual described herein, and who executed this instrument which is my award.

Donald G. Kennedy
Donald G. Kennedy

Nov. 26, 2001
Signature Date

December 13, 2001
Date of Service (For NASD-DR office use only)