

**NASD DISPUTE RESOLUTION AWARD**  
**NASD DISPUTE RESOLUTION, INC.**

CASE: 01-02929

Baruch Tandler, claimant vs. Ron Itin, respondent.

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**ATTORNEYS:**

Claimant appeared Pro Se, Netanya, Israel.

Respondent appeared Pro Se, New York, NY.

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DATE FILED: June 4, 2001

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**CASE SUMMARY:** Claimant alleged that respondent made unauthorized trades of Global Crossing in his account after claimant specifically asked him not to.

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**Claim Data**

Claim: \$22,000.00

Filing Fees: unspecified

Interest: unspecified

Other: unspecified

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**Award Data**

Award: \$21,854.25

Filing Fees: \$425.00

Interest: at 5% per annum from November 1, 2000 until payment of the award.

Other: \$.00

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**AWARD:** The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable and shall pay to the claimant \$21,854.25. 2) Respondent is liable and shall pay to the claimant interest at a rate of 5% per annum from November 1, 2000 until payment of award. 3) All other relief requests are denied. 4) The \$425.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the claimant, shall be retained by NASD Dispute Resolution, Inc. 5) Respondent is liable and shall pay claimant \$425.00 as reimbursement of the filing fee.

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**OTHER FEES:** Pursuant to Rule 10333 of the Code, respondent's firm Everest 1, Inc. has paid to NASD Dispute Resolution, Inc. the \$400.00 Member Surcharge previously invoiced.

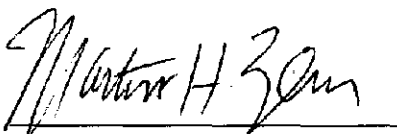
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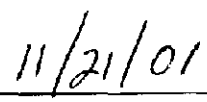
Martin H. Zern, Esq.

Sole Public Arbitrator

**AFFIRMATION**

I, Martin H. Zern, Esq., do hereby affirm, pursuant to Article 7507 of the Civil Practice Law and Rules, that I am the individual described herein, and who executed this instrument which is my award.

  
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Martin H. Zern, Esq.

  
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Signature Date

November 27, 2001  
Date of Service (For NASD-DR office use only)