

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION, INC.

CASE:01-03001

Carine V. Alma, claimant vs. Raymond L. Dirks, respondent.

ATTORNEYS:

Claimant appeared pro se, Garden City, NY.

For Respondent appeared A. Joseph Tandet, Esq., New York, NY.

DATE FILED: June 6, 2001

CASE SUMMARY: Claimant alleged that respondent failed to pay the remaining balance due under their employment agreement. Claimant maintained that due to respondent's actions, she suffered losses.

Claim Data

Claim: \$4,525.00
Punitive: \$1,000.00
Filing Fees: \$325.00

Award Data

Award: \$.00
Punitive: \$0.00
Filing Fees: \$162.50

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of claimant are dismissed in their entirety. 2) All other relief requests are denied. 3) The \$325.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the claimant, shall be retained by NASD Dispute Resolution, Inc. 4) Respondent is liable and shall pay claimant \$162.50 as reimbursement of one-half of the filing fee.


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Award 01-03001

Raymond J. Cullen

Sole Non-Public Arbitrator

AFFIRMATION

I, Raymond J. Cullen, do hereby affirm, pursuant to article 7507 of the Civil Practice law and Rules, that I am the individual described herein, and who executed this instrument which is my award.


Raymond J. Cullen


Signature Date

June 4, 2002
Date of Service (For NASD-DR office use only)