

**NASD DISPUTE RESOLUTION AWARD**  
**NASD DISPUTE RESOLUTION, INC.**

CASE: 01-03106

Preferred Securities Group, claimant vs. Scott Howard Weissman, respondent.

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**ATTORNEYS:**

For Claimant: Vic Lessinger, In-House Counsel, Boca Raton, FL

Respondent appeared pro-se., Ft. Lauderdale, FL.

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**DATE FILED:** June 12, 2001

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**CASE SUMMARY:** Claimant alleged respondent failed to repay advanced commissions upon termination as stated in an Agreement he made with claimant.

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**Claim Data**

Claim: \$15,000.00  
Interest: unspecified  
Attorney Fees: unspecified  
Filing Fees: \$1,050.00  
Other: unspecified

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**Award Data**

Award: \$15,000.00  
Interest: \$.00  
Attorney Fees: \$.00  
Filing Fees: \$1,050.00  
Other: \$.00

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**AWARD:** The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable and shall pay to the claimant \$15,000.00. 2) All requests for attorney fees are denied. 3) All other relief requests are denied. 4) The \$1,050.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the claimant, shall be retained by NASD Dispute Resolution, Inc. 5) Respondent is liable and shall pay claimant \$1,050.00 as reimbursement of the filing fee.

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**OTHER FEES:** Pursuant to Rule 10333 of the Code, claimant has paid to NASD Dispute Resolution, Inc. \$200.00 of the \$400.00 Member Surcharge previously invoiced. Balance outstanding \$200.00

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**ARBITRATOR' REPORT:** Respondent's defense stated in correspondence dated November 2, 2001, was inconsistent with Respondent's letter of resignation dated March 29, 2001.

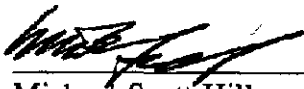
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Award 01-03106

Michael Scott Hill

Sole Non-Public Arbitrator

**AFFIRMATION**

I, Michael Scott Hill, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.

  
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Michael Scott Hill

12/16/01  
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Signature Date

December 21, 2001  
Date of Service (For NASD-DR office use only)