

**NASD DISPUTE RESOLUTION AWARD**  
**NASD DISPUTE RESOLUTION**

CASE: 02-04148

Scott M. Rosen, claimant vs. Datek Online Financial Services, respondent.

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**ATTORNEYS:**

Claimant appeared pro se, Balilago, Angeles City, Philippines.

For Respondent appeared Usman S. Mohammed, Esq., of the firm Jones, Bell, Abbott Fleming & Fitzgerald, Los Angeles, CA.

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DATE FILED: July 16, 2002

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**CASE SUMMARY:** Claimant alleged that respondent misrepresented facts regarding his account. Claimant maintained that because of respondent's actions, his account suffered a loss.

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**Claim Data**

Claim: \$245.00  
Punitive: \$500.00  
Filing Fees: \$.00

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**Award Data**

Award: \$.00  
Punitive: \$.00  
Filing Fees: \$50.00

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**AWARD:** The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of claimant are dismissed in their entirety. 2) All requests for punitive damages are denied. 3) All other relief requests are denied. 4) The \$50.00 filing fee previously deposited with NASD Dispute Resolution by the claimant, shall be retained by NASD Dispute Resolution. 5) Respondent is liable and shall pay claimant \$50.00 as reimbursement of the filing fee.

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**OTHER FEES:** Pursuant to Rule 10333 of the Code, respondent has paid to NASD Dispute Resolution the \$150.00 Member Surcharge previously invoiced.

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Award 02-04148

Robert W. Cockren, Esq.

Sole Public Arbitrator

**AFFIRMATION**

I, Robert W. Cockren, Esq., do hereby affirm, pursuant to Article 7507 of the Civil Practice Law and Rules, that I am the individual described herein, and who executed this instrument which is my award.

  
Robert W. Cockren, Esq.

March 5, 2003  
Signature Date

March 12, 2003  
Date of Service (For NASD-DR office use only)