

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION

CASE: 02-04774

Antonio and Filomena Fossari, Claimants v. JP Morgan Chase, Chase Investment Services Corporation, and Philip Stefan Getfield, Respondents.

ATTORNEYS:

For Claimants, Antonio and Filomena Fossari, ("Claimants"), appeared Andrew J. Kohler, Esq., New York, NY.

Respondent, JP Morgan Chase, ("JP Morgan"), did not submit a statement of answer in this case. For Respondents, Chase Investment Services Corporation, ("Chase"), and Philip Stefan Getfield, ("Getfield"), appeared Matthew C. Plant, New York, NY.

NATURE OF DISPUTE: Customers v. Non-Member Without Contract to Arbitrate, Member, and Associated Person

DATE FILED: August 13, 2002

CASE SUMMARY: Claimants alleged that Respondents breached their fiduciary duty, were negligent, and failed to advise them about appropriate portfolio protection and investment strategies. Claimants maintained that due to Respondents actions, their account suffered financial losses.

Claim Data

Claim: \$24,000.00
Interest: From the date the losses were incurred
to the date of this arbitration
Punitive: Unspecified
Attorney Fees: Unspecified
Filing Fees: \$425.00
Other: Unspecified

Award Data

Award: \$.00
Interest: \$.00

Punitive: \$.00
Attorney Fees: \$.00
Filing Fees: \$.00
Other: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of Claimants are dismissed in their entirety. 2) All requests for interest are denied. 3) All requests for punitive damages are denied. 4) All requests for attorney fees are denied. 5) All other relief requests are denied. 6) NASD Dispute Resolution shall retain the \$425.00 filing fee that the Claimants deposited previously.

OTHER FEES: Pursuant to Rule 10333 of the Code, Respondent Chase Investment Services Corporation has paid to NASD Dispute Resolution the \$425.00 Member Surcharge previously invoiced.

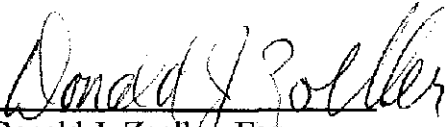
Page Two
Award 02-04774

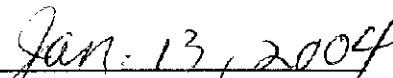
Donald J. Zoeller, Esq.

Sole Public Arbitrator

AFFIRMATION

I, Donald J. Zoeller, Esq., do hereby affirm, pursuant to Article 7507 of the Civil Practice Law and Rules, that I am the individual described herein, and who executed this instrument which is my award.


Donald J. Zoeller, Esq.


Signature Date

January 27, 2004

Date of Service (For NASD-DR office use only)