

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION

CASE: 02-04900

Raymond James & Associates, Inc., claimant vs. Randall Barlow, respondent.

ATTORNEYS:

For Claimant in-house counsel, Robert C. Stokes, III, Esq., St. Petersburg, FL.

Respondent appeared pro se, Jupiter, FL.

DATE FILED: August 20, 2002

CASE SUMMARY: Claimant alleged that respondent failed to repay monies owed pursuant to the terms of the Advanced Compensation Agreement.

Claim Data

Claim: \$25,000.00
Interest: 10% p.a. from 2/15/02
Attorney Fees: Unspecified
Filing Fees: Unspecified
Other: Unspecified

Award Data

Award: \$.00
Interest: \$.00
Attorney Fees: \$.00
Filing Fees: \$.00
Other: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of claimant are dismissed in their entirety. 2) All requests for interest are denied. 3) All requests for attorney fees are denied. 4) All other relief requests are denied. 5) The \$1,050.00 filing fee previously deposited with NASD Dispute Resolution by the claimant, shall be retained by NASD Dispute Resolution.

OTHER FEES: Pursuant to Rule 10333 of the Code, claimant has paid to NASD Dispute Resolution the \$425.00 Member Surcharge previously invoiced.


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Award 02-04900

Edwin J. Slovitt

Sole Non-Public Arbitrator

AFFIRMATION

I, Edwin J. Slovitt, do hereby affirm, pursuant to Article 7507 of the Civil Practice Law and Rules, that I am the individual described herein, and who executed this instrument which is my award.



Edwin J. Slovitt

1/24/03

Signature Date

1/28/03

Date of Service (For NASD-DR office use only)