

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION

CASE: 02-05435

Gina Lippis, claimant vs. First Colonial Securities Group, Inc., respondent.

ATTORNEYS:

For Claimant appeared Peter T. Jensen, Esq., New York, NY.

For Respondent appeared in-house counsel, Richard Campanella, Esq., Boca Raton, FL.

DATE FILED: September 12, 2002

CASE SUMMARY: Claimant alleged that respondent failed to pay claimant her bonus pursuant to the terms of the Employment Agreement.

Claim Data

Claim: \$17,500.00
Attorney Fees: Unspecified
Filing Fees: \$425.00
Other: Unspecified

Award Data

Award: \$17,500.00
Attorney Fees: \$1,000.00
Filing Fees: \$212.50
Other: \$0.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable and shall pay to the claimant \$17,500. 2) Respondent is liable and shall pay to the claimant attorney fees in the amount of \$1,000.00. 3) All other relief requests are denied. 4) The \$425.00 filing fee previously deposited with NASD Dispute Resolution by the claimant, shall be retained by NASD Dispute Resolution. 5) Respondent is liable and shall pay claimant \$212.50 as reimbursement of one-half of the filing fee.

OTHER FEES: Pursuant to Rule 10333 of the Code, respondent has not paid to NASD Dispute Resolution the \$425.00 Member Surcharge previously invoiced.

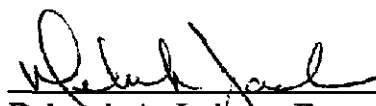
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Award 02-05435

Deborah A. Jackson, Esq.

Sole Non-Public Arbitrator

AFFIRMATION

I, Deborah A. Jackson, Esq., do hereby affirm, pursuant to Article 7507 of the Civil Practice Law and Rules, that I am the individual described herein, and who executed this instrument which is my award.



Deborah A. Jackson, Esq.

February 4, 2003
Signature Date

February 14, 2003
Date of Service (For NASD-DR office use only)