

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION

CASE: 02-05619

Cherrie A. Miller, claimant vs. Jac Comfort-Edward Jones, respondent.

ATTORNEYS:

Claimant appeared pro se, Stanley, KS.

For Respondent appeared in-house counsel, Kimberly G. Jones, Esq., St. Louis, MO.

DATE FILED: September 23, 2002

CASE SUMMARY: Claimant alleged that respondent made misrepresentations and omitted facts regarding investments that respondent recommended to her. Claimant contended the investments were unsuitable to her investment objectives. Claimant maintained that due to respondent's actions, the account suffered losses.

Claim Data

Claim: \$12,243.00
Filing Fees: \$425.00
Other: Unspecified

Award Data

Award: \$.00
Filing Fees: \$212.50
Other: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of claimant are dismissed in their entirety. 2) All other relief requests are denied. 3) The \$425.00 filing fee previously deposited with NASD Dispute Resolution by the claimant, shall be retained by NASD Dispute Resolution. 4) Respondent is liable and shall pay claimant \$212.50 as reimbursement of one half of the filing fee.

OTHER FEES: Pursuant to Rule 10333 of the Code, Edward D. Jones & Co., has paid to NASD Dispute Resolution the \$425.00 Member Surcharge previously invoiced.


Page Two
Award 02-05619

H. Todd Iveson, Esq.

Sole Public Arbitrator

AFFIRMATION

I, H. Todd Iveson, Esq., do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.


H. Todd Iveson, Esq.


Signature Date

March 6, 2003
Date of Service (For NASD-DR office use only)