

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION

CASE: 02-05832

Prudential Securities, Inc., claimant vs. Mark W. Gilmer, respondent.

ATTORNEYS:

For Claimant appeared Steven P. Gomberg, Esq., of the firm Rooks Pitts, Chicago, IL.

Respondent appeared pro se, Ann Arbor, MI.

DATE FILED: October 1, 2002

CASE SUMMARY: Claimant alleged that respondent failed to repay monies owed pursuant to the terms of a training agreement.

Claim Data

Claim: \$10,000.00
Attorney Fees: \$1,407.50
Filing Fees: \$750.00
Cost: \$329.20
Other: unspecified

Award Data

Award: \$10,000.00
Attorney Fees: \$.00
Filing Fees: \$750.00
Cost: \$329.20
Other: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable and shall pay to the claimant \$10,000.00. 2) All requests for attorney fees are denied. 3) Respondent is liable and shall pay claimant \$329.20 for other case related cost. 4) All other relief requests are denied. 5) The \$750.00 filing fee previously deposited with NASD Dispute Resolution by the claimant, shall be retained by NASD Dispute Resolution. 6) Respondent is liable and shall pay claimant \$750.00 as reimbursement of the filing fee.

OTHER FEES: Pursuant to Rule 10333 of the Code, claimant has paid to NASD Dispute Resolution the \$325.00 Member Surcharge previously invoiced.

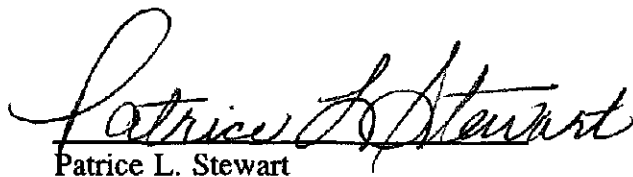
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Award 02-05832

Patrice L. Stewart

Sole Non-Public Arbitrator

AFFIRMATION

I, Patrice L. Stewart, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.


Patrice L. Stewart


Signature Date

March 14, 2003
Date of Service (For NASD-DR office use only)