

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION

CASE: 02-05993

Frederick B. Bamford, Claimant vs. Dreyfus Service Corp., Jeffrey R. Kraebel and Carl V. Melella, Respondents.

ATTORNEYS:

Claimant, Frederick B. Bamford, ("Claimant") appeared Pro Se., Longwood, FL.

For Respondents, Dreyfus Service Corp., Jeffrey R. Kraebel and Carl V. Melella, (collectively "Respondents"), appeared Jonathan Budd, Esq., of the firm Reid Priest, LLP, Pittsburgh, PA.

DATE FILED: October 8, 2002

CASE SUMMARY: Claimant alleged that Respondents failed honor their contractual agreement and refused to pay him for commissions earned in March and April of 2002 and for one week of vacation.

Claim Data

Claim: \$10,000.00
Punitive: \$10,000.00
Interest: unspecified

Filing Fees: unspecified
Other: unspecified

Award Data

Award: \$8,051.00
Punitive: \$.00
Interest: 9% per annum from
April 30, 2002 to date of
payment of award
Filing Fees: \$425.00
Other: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of Claimant against Jeffrey Kraebel and Carl V. Melella are dismissed in their entirety. 2) Respondent Dreyfus Service Corp. is liable and shall pay to the Claimant \$8,051.00 3) Respondent Dreyfus Service Corp. is liable and shall pay to the claimant interest at the rate of 9% per annum from April 30, 2002 to date of payment of award. 4) All requests for punitive damages are denied. 5) All other relief requests are denied. 6) The \$425.00 filing fee previously deposited with NASD Dispute Resolution by the Claimant, shall be retained by NASD Dispute Resolution. Respondent, Dreyfus Service Corp., is liable and shall pay claimant \$425.00 as reimbursement of the filing fee.

OTHER FEES: Pursuant to Rule 10333 of the Code, Respondent, Dreyfus Service Corp., has paid to NASD Dispute Resolution the \$425.00 Member Surcharge previously invoiced.

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Joseph F. Keenan

Sole Non-Public Arbitrator

AFFIRMATION

I, Joseph F. Keenan, do hereby affirm, pursuant to Article 7507 of the Civil Practice Law and Rules, that I am the individual described herein, and who executed this instrument which is my award.

Joseph F. Keenan
Joseph F. Keenan

4-15-03
Signature date

April 16, 2003
Date of Service (For NASD-DR office use only)