

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION

CASE: 02-06204

John Varjabedian, Claimant vs. Ameritrade, Inc., Respondent.

ATTORNEYS:

Claimant, John Varjabedian, ("Claimant") appeared Pro Se., Las Vegas, NV.

Respondent, Ameritrade, Inc., ("Respondent"), appeared through its in-house counsel, James J. Vihstadt, Esq., Bellevue, NE.

DATE FILED: October 15, 2002

CASE SUMMARY: Claimant alleged that Respondent charged excessive fees for margin interest and for the issuance and delivery of five stock certificates. Claimant further alleged that the charges published and provided to him in writing and over the telephone were improper. Claimant maintained that due to Respondent's actions he suffered financial losses.

Claim Data

Claim: \$406.39
Filing Fees: \$50.00
Other: unspecified

Award Data

Award: \$.00
Filing Fees: \$.00
Other: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of Claimant are dismissed in their entirety. 2) All other relief requests are denied. 3) The \$50.00 filing fee previously deposited with NASD Dispute Resolution by the Claimant, shall be retained by NASD Dispute Resolution.

OTHER FEES: Pursuant to Rule 10333 of the Code, Respondent has paid to NASD Dispute Resolution the \$150.00 Member Surcharge previously invoiced.

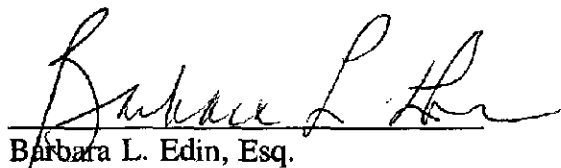
Page Two
Award 02-06204

Barbara L. Edin, Esq.

Sole Non-Public Arbitrator

AFFIRMATION

I, Barbara L. Edin, Esq., do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.


Barbara L. Edin, Esq.


Signature Date

May 1, 2003

Date of Service (For NASD-DR office use only)