

**NASD DISPUTE RESOLUTION AWARD**  
**NASD DISPUTE RESOLUTION**

CASE: 02-06223

Stuart A. Barbour, Jr., claimant vs. Calton & Associates, Inc., respondent.

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**ATTORNEYS:**

Claimant appeared pro se, Roanoke, VA.

For Respondent appeared in-house counsel, Robert B. Greblunas, Tampa, FL.

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DATE FILED: October 17, 2002

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**CASE SUMMARY:** Claimant alleged that respondent sold him a bond and failed to disclose information regarding the fact the issuer of the bond filed for bankruptcy. Claimant maintained that because of respondent's actions, he suffered a loss.

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**Claim Data**

Claim: \$4,452.13  
Interest: Unspecified  
Filing Fees: \$175.00

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**Award Data**

Award: \$.00  
Interest: \$.00  
Filing Fees: \$87.50

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**AWARD:** The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of claimant are dismissed in their entirety. 2) All requests for interest are denied. 3) All other relief requests are denied. 4) The \$175.00 filing fee previously deposited with NASD Dispute Resolution by the claimant, shall be retained by NASD Dispute Resolution. 5) Respondent is liable and shall pay claimant \$87.50 as reimbursement of the filing fee.

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**OTHER FEES:** Pursuant to Rule 10333 of the Code, respondent has paid to NASD Dispute Resolution the \$200.00 Member Surcharge previously invoiced.

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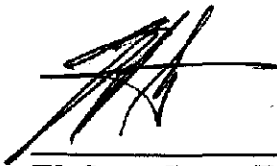
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Award 02-06223

W. Scott Greco, Esq.

Sole Public Arbitrator

**AFFIRMATION**

I, W. Scott Greco, Esq., do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.



W. Scott Greco, Esq.

5/17/03

Signature Date

March 26, 2003  
Date of Service (For NASD-DR office use only)