

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION

CASE: 02-06347

Westor Online, Inc., Claimant vs. Raymond A. Thomas, Respondent.

ATTORNEYS:

For Claimant appeared Dominick J. Porto, New York, NY.

Respondent did not respond to the Statement of Claim.

DATE FILED: October 22, 2002

NATURE OF CLAIM: Member Firm v. Associated Person

CASE SUMMARY: Claimant alleged that Respondent has failed to repay monies owed being the balance of an amount of a loan paid out to him. Claimant maintained that due to Respondent's actions, the corporation suffered financial losses.

Claim Data

Claim: \$4,000.00
Interest: \$540.00
Filing Fees: \$525.00
Other: Unspecified

Award Data

Award: \$4,000.00
Interest: \$.00
Filing Fees: \$525.00
Other: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable and shall pay to the Claimant \$4,000.00. 2) All requests for interest are denied. 3) All other relief requests are denied. 4) The \$525.00 filing fee previously deposited with NASD Dispute Resolution by the Claimant, shall be retained by NASD Dispute Resolution. 5) Respondent is liable and shall pay Claimant \$525.00 as reimbursement of the filing fee.

OTHER FEES: Pursuant to Rule 10333 of the Code, Claimant has paid to NASD Dispute Resolution the \$200.00 Member Surcharge previously invoiced.

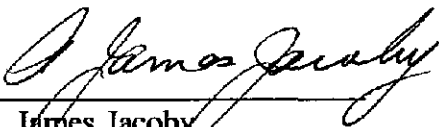
OTHER ISSUES: Pursuant to the By-Laws of NASD Dispute Resolution, the arbitrator determined that Respondent, Raymond A. Thomas was served notice of the Statement of Claim and Notification of Arbitrator by regular mail, and Overdue Notice by certified mail, and is therefore bound by the arbitrator's ruling and determination.

A. James Jacoby

Sole Non-Public Arbitrator

AFFIRMATION

I, A. James Jacoby, do hereby affirm, pursuant to Article 7507 of the Civil Practice Law and Rules, that I am the individual described herein, and who executed this instrument which is my award.


A. James Jacoby


Signature Date

10/20/03
Date of Service (For NASD-DR office use only)