

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION

CASE: 02-06348

Ralph G. Amodei, claimant vs. Allan Douglas Securities, Inc. and James Taylor, respondents.

ATTORNEYS:

Claimant appeared pro se, Davie, FL.

For Respondent Allen Douglas Securities, Inc. appeared Russell L. Forkey, Esq., Fort Lauderdale, FL.

DATE FILED: October 22, 2002

CASE SUMMARY: Claimant alleged that respondent sold IDTI without his permission and purchased ASCL without his authorization. Claimant maintained that because of respondent's actions, his account suffered a loss.

Claim Data

Claim: \$15,353.00

Award Data

Award: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of claimant are dismissed in their entirety. 2) All other relief requests are denied. 3) The \$125.00 filing fee and \$300 hearing session deposit was previously waived by NASD Dispute Resolution.

OTHER FEES: Pursuant to Rule 10333 of the Code, respondent, Allen Douglas Securities, Inc., has paid to NASD Dispute Resolution the \$425.00 Member Surcharge previously invoiced.

OTHER ISSUES: Respondent James Taylor was withdrawn as a party to this matter prior to an arbitrator being appointed.


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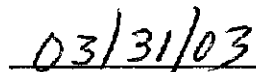
Paul E. Morgan

Sole Public Arbitrator

AFFIRMATION

I, Paul E. Morgan, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.


Paul E. Morgan


Signature Date

April 7, 2003

Date of Service (For NASD-DR office use only)