

**NASD DISPUTE RESOLUTION AWARD**  
**NASD DISPUTE RESOLUTION**

CASE: 02-06540

NFS/FMTC Rollover IRA FBO Bronius Satkunas and Bronius Satkunas, Claimants vs. Garnett L. Riddle, Jr. and Andrew Castellano, Suntrust Securities, Inc f/k/a Crestar Securities Corporation, Respondents.

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**ATTORNEYS:**

For Claimant appeared K. L. Loveland, Esq. of the firm Investors Recovery Specialists, Inc., Savannah, GA.

For Respondents appeared Henry I. Willett, III, Esq., of the firm Christian Barton, LLP. Richmond, VA.

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**NATURE OF DISPUTE:** Customers V. Member and Associated Persons

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**DATE FILED:** October 31, 2002.

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**CASE SUMMARY:** Claimants alleged that Respondents breached their fiduciary duty, recommended stock that was unsuitable to Claimants' investment objectives and failed to properly manage the accounts. Claimants maintained that due to Respondents' actions, the account suffered losses.

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**Claim Data**

Claim: \$25,000.00  
Punitive: Unspecified  
Interest: \$17,202.00  
Attorney Fees: \$8,773.00  
Filing Fees: Unspecified  
Cost: \$3,000.00  
Other: \$0.00

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**Award Data**

Award: \$.00  
Punitive: \$.00  
Interest: \$.00  
Attorney Fees: \$.00  
Filing Fees: \$.00  
Cost: \$.00  
Other: \$.00

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**AWARD:** The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of claimants are dismissed in their entirety. 2) All requests for attorney fees are denied. 3) All requests for punitive damages are denied. 4) All request for interest are denied. 5) All other relief requests are denied. 6) The \$425.00 filing fee previously deposited with NASD Dispute Resolution by the Claimants, shall be retained by NASD Dispute Resolution.

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**OTHER FEES:** Pursuant to Rule 10333 of the Code, Respondent Suntrust Securities, Inc. f/k/a Crestar Securities Corporation has paid to NASD Dispute Resolution the \$425.00 Member Surcharge previously invoiced.

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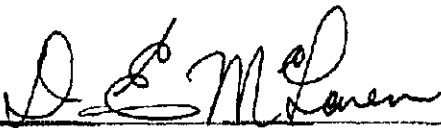
**OTHER ISSUE:** Respondent Andrew P. Castellano was dismissed from the case by Claimants prior to the Arbitrator rendering a decision on the merit.

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Douglas Earl McLaren, Esq. - Sole Public Arbitrator

**AFFIRMATION**

I, Douglas Earl McLaren, Esq., do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.

  
Douglas Earl McLaren, Esq.

10/9/04  
Signature Date

October 25, 2004  
Date of Service (For NASD-DR office use only)