

**NASD DISPUTE RESOLUTION AWARD**  
**NASD DISPUTE RESOLUTION**

CASE: 02-06822

Thomas J. Dawson, claimant vs. Chad A. Bingen and TD Waterhouse Investor Services, Inc., respondents.

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**ATTORNEYS:**

Claimant appeared pro se, Madison, WI.

For Respondents appeared in-house counsel, Janis Campanella, Esq., Boca Raton, FL.

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**DATE FILED:** November 12, 2002

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**CASE SUMMARY:** Claimant alleged that respondents placed a fraudulent credit card transaction to his account, causing him to be unable to trade his account on-line. Claimant maintained that because of respondents' actions, he suffered a loss.

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**Claim Data**

Claim: \$5,000.00  
Punitive: \$10,000.00  
Attorney Fees: Unspecified  
Interest: \$175.00  
Filing Fees: Unspecified  
Other: Unspecified

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**Award Data**

Award: \$.00  
Punitive: \$.00  
Attorney Fees: \$.00  
Interest: \$.00  
Filing Fees: \$.00  
Other: \$.00

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**AWARD:** The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of claimant are dismissed in their entirety. 2) All requests for attorney fees are denied. 3) All requests for punitive damages are denied. 4) All requests for interest are denied. 5) All other relief requests are denied. 6) The \$425.00 filing fee previously deposited with NASD Dispute Resolution by the claimant, shall be retained by NASD Dispute Resolution.

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**OTHER FEES:** Pursuant to Rule 10333 of the Code, respondent, TD Waterhouse Investor Services, Inc., has paid to NASD Dispute Resolution the \$425.00 Member Surcharge previously invoiced.

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J. Miles Goodwin, Esq.

Sole Public Arbitrator

**AFFIRMATION**

I, J. Miles Goodwin, Esq., do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.

  
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J. Miles Goodwin, Esq.

3/20/03  
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Signature Date

March 26, 2003  
\_\_\_\_\_  
Date of Service (For NASD-DR office use only)