

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION

CASE: 02-06923

Mark E. Hoskin, IRA, claimant vs. TD Waterhouse Investor Services, Inc., respondent.

ATTORNEYS:

Claimant appeared pro se, Glendale, CA.

For Respondent appeared in-house counsel Janis Campanella, Esq., Boca Raton, FL.

DATE FILED: November 15, 2002

CASE SUMMARY: Claimant alleged that respondent failed to execute orders to buy and sell shares of ICOM, JFAX, ADSX, CHNAQ, and HIHO stocks at the best execution prices. Claimant maintained that because of respondent's actions, his account suffered a loss.

Claim Data

Claim: \$25,000.00

Punitive: Unspecified

Interest: \$2,250.00

Attorney Fees: Unspecified

Filing Fees: \$425.00

Other: Unspecified

Award Data

Award: \$24,859.83

Punitive: \$.00

Interest: at the rate of 8% per annum
from 6/21/00 to 4/30/03

Attorney Fees: \$.00

Filing Fees: \$425.00

Other: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable and shall pay to the claimant \$24,859.83. 2) All requests for attorney fees are denied. 3) All requests for punitive damages are denied. 4) All other relief requests are denied. 5) Respondent is liable and shall pay claimant interest at the rate of 8% per annum from June 21, 2000 to April 30, 2003. 6) The \$425.00 filing fee previously deposited with NASD Dispute Resolution by the claimant, shall be retained by NASD Dispute Resolution. 7) Respondent is liable and shall pay claimant \$425.00 as reimbursement of the filing fee.

OTHER FEES: Pursuant to Rule 10333 of the Code, respondent has paid to NASD Dispute Resolution the \$425.00 Member Surcharge previously invoiced.

Page Two

Award 02-06923

Bernard F. Vail

Sole Public Arbitrator

AFFIRMATION

I, Bernard F. Vail, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.

Bernard F Val

Bernard F. Vail

May 13, 2003

Signature/Date

May 23, 2003

Date of Service (For NASD-DR office use only)