

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION

CASE: 02-07072

Milestone Financial Services, Inc., Claimant vs. John V. Prinzivalli, Respondent.

ATTORNEYS:

For Claimant, Milestone Financial Services, Inc., ("Claimant") appeared J. Christopher Albanese, Esq. of American Capital Partners, LLC., Hauppauge, NY.

Respondent, John V. Prinzivalli, ("Respondent") appeared pro se., Smithtown, NY.

DATE FILED: November 21, 2002

CASE SUMMARY: Claimant alleged that Respondent failed to pay his portion of a debit incurred in a client account after his resignation from the company.

Claim Data

Claim: \$1,398.64
Interest: At the rate of 1.5%
Filing Fees: \$350.00
Other: Unspecified

Award Data

Award: \$.00
Interest: \$.00
Filing Fees: \$.00
Other: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of Claimant are dismissed in their entirety. 2) All requests for interest are denied. 3) All other relief requests are denied. 4) The \$350.00 filing fee previously deposited with NASD Dispute Resolution by the Claimant, shall be retained by NASD Dispute Resolution.

OTHER FEES: Pursuant to Rule 10333 of the Code, Claimant, Milestone Financial Services, Inc. has paid to NASD Dispute Resolution the \$150.00 Member Surcharge previously invoiced.

C. Anthony Bell

C. Anthony Bell

Sole Non-Public Arbitrator

AFFIRMATION

I, C. Anthony Bell, do hereby affirm, pursuant to Article 7507 of the Civil Practice Law and Rules, that I am the individual described herein, and who executed this instrument which is my award.

C. Anthony Bell
C. Anthony Bell

6-16-03
Signature Date

July 9, 2003
Date of Service (For NASD-DR office use only)