

**NASD DISPUTE RESOLUTION AWARD**  
**NASD DISPUTE RESOLUTION**

CASE: 02-07074

UBS Painewebber, Inc., claimant vs. Rochelle Snelson-Simpkins, respondent.

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**ATTORNEYS:**

For Claimant appeared Romaine L. Gardner, Esq., Staten Island, NY.

Respondent did not respond to the Statement of Claim.

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DATE FILED: November 21, 2001

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**CASE SUMMARY:** Claimant alleged that respondent failed to repay the balance on her Platinum Master-Card Debit Card for the rental of a car. Claimant maintained that because of respondent's actions, the firm suffered a loss.

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**Claim Data**

Claim: \$6,019.13

Interest: Unspecified

Filing Fees: Unspecified

Other: Unspecified

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**Award Data**

Award: \$6,019.13

Interest: at the rate of 18% p.a. from 8/31/01 to date of payment of the award.

Filing Fees: \$750.00

Other: \$.00

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**AWARD:** The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable and shall pay to the claimant \$6,019.13. 2) Respondent is liable and shall pay claimant interest at the rate of 18% per annum from August 31, 2001 to date of payment of the award. 3) All other relief requests are denied. 4) The \$750.00 filing fee previously deposited with NASD Dispute Resolution by the claimant, shall be retained by NASD Dispute Resolution. 5) Respondent is liable and shall pay claimant \$750.00 as reimbursement of the filing fee.

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**OTHER FEES:** Pursuant to Rule 10333 of the Code, claimant has paid to NASD Dispute Resolution the \$325.00 Member Surcharge previously invoiced.

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Award 02-07074

Robert J. Walter

Sole Public Arbitrator

**AFFIRMATION**

I, Robert J. Walter, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.

Robert J. Walter  
Robert J. Walter

April 11, 2003  
Signature Date

April 24, 2003  
Date of Service (For NASD-DR office use only)