

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION

CASE: 02-07194

Leo Andreoli, claimant vs. Webster Investment Services, Inc. and Robert Cosentino, respondents.

ATTORNEYS:

Claimant appeared pro se, Las Vegas, NV.

For Respondent, Webster Investment Services, Inc., appeared in-house counsel Thomas N. Howe, Waterbury, CT.

Respondent, Robert Cosentino, appeared pro se, Farmington, CT.

DATE FILED: November 26, 2002

CASE SUMMARY: Claimant alleged that respondents placed him in unsuitable investments and misrepresented facts regarding these investments. Claimant maintained that because of respondents' actions, his account suffered a loss.

Claim Data

Claim: \$5,000.00

Punitive: Unspecified

Filing Fees: Unspecified

Award Data

Award: \$.00

Punitive: \$.00

Filing Fees: \$87.50

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of claimant are dismissed in their entirety. 2) All requests for punitive damages are denied. 3) All other relief requests are denied. 4) The \$175.00 filing fee previously deposited with NASD Dispute Resolution by the claimant, shall be retained by NASD Dispute Resolution. 5) Respondents are jointly and severally liable and shall pay claimant \$87.50 as reimbursement of one half of the filing fee.

OTHER FEES: Pursuant to Rule 10333 of the Code, respondent, Webster Investment Services, Inc, has not paid to NASD Dispute Resolution the \$200.00 Member Surcharge previously invoiced.

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Philip H. Marmurowski

Sole Public Arbitrator

AFFIRMATION

I, Philip H. Marmurowski, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.

Philip H. Marmurowski
Philip H. Marmurowski

3/21/03
Signature Date

March 26, 2003

Date of Service (For NASD-DR office use only)