

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION

CASE: 02-07300

Continental Broker-Dealer Corporation, Claimant vs. Robert Keon, Respondent.

ATTORNEYS:

For Claimant appeared Timothy Feil, Esq. of the Law Office of Timothy Feil, Carle Place, NY.

Respondent did not respond to the Statement of Claim.

DATE FILED: December 3, 2002

CASE SUMMARY: Claimant alleged respondent indemnify the firm for a previous arbitration settlement for a claim that resulted from respondent's actions. Claimant maintained that due to Respondent's actions, the Corporation suffered financial losses.

Claim Data

Claim: \$25,000.00

Interest: Unspecified

Attorney Fees: Unspecified

Filing Fees: Unspecified

Other: Unspecified

Award Data

Award: \$25,000.00

Interest: At the rate of 9% per annum
from 4/01/02-7/01/02

Attorney Fees: \$.00

Filing Fees: \$1,050.00

Other: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable and shall pay to the Claimant \$25,000.00. 2) Respondent is liable and shall pay to the Claimant interest at the rate of 9% per annum from April 1, 2002 to July 1, 2002. 3) All requests for attorney fees are denied. 4) All other relief requests are denied. 5) The \$1,050.00 filing fee previously deposited with NASD Dispute Resolution by the Claimant, shall be retained by NASD Dispute Resolution. 6) Respondent is liable and shall pay Claimant \$1,050.00 as reimbursement of the filing fee.

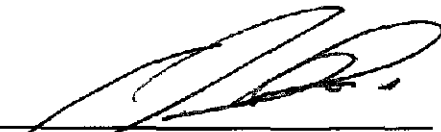
OTHER FEES: Pursuant to Rule 10333 of the Code, Claimant, Continental Broker-Dealer Corporation has paid to NASD Dispute Resolution the \$425.00 Member Surcharge previously invoiced.

Chaim A. Levin, Esq.

Sole Non-Public Arbitrator

AFFIRMATION

I, Chaim A. Levin, Esq., do hereby affirm, pursuant to Article 7507 of the Civil Practice Law and Rules, that I am the individual described herein, and who executed this instrument which is my award.



Chaim A. Levin, Esq.



Signature Date

July 22, 2003
Date of Service (For NASD-DR office use only)