

**NASD DISPUTE RESOLUTION AWARD**  
**NASD DISPUTE RESOLUTION**

CASE: 02-07593

David Henderson, Claimant vs. Richmond Hillcrest & Company, Respondent.

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**ATTORNEYS:**

Claimant, David Henderson, ("Claimant"), appeared pro se, Los Angeles, CA.

For Respondent, Richmond Hillcrest & Company, ("Respondent"), appeared Howard J. Kern, Esq., of the Law Offices of Greenberg Traurig, LLP, Santa Monica, CA.

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**DATE FILED:** December 17, 2002

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**CASE SUMMARY:** Claimant alleged that Respondent failed to pay him compensation owed for commissions generated while he was employed with the company. Claimant maintained that due to Respondent's actions, he suffered financial losses.

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**Claim Data**

Claim: \$14,312.15  
Filing Fees: \$.00  
Other: Unspecified

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**Award Data**

Award: \$3,442.56  
Filing Fees: \$212.50  
Other: \$.00

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**AWARD:** The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable and shall pay to the Claimant \$3,442.56. 2) All other relief requests are denied. 3) The \$425.00 filing fee previously deposited with NASD Dispute Resolution by the Claimant, shall be retained by NASD Dispute Resolution. 4) Respondent is liable and shall pay to the Claimant \$212.50 as reimbursement of one half of the filing fee.

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**OTHER FEES:** Pursuant to Rule 10333 of the Code, Respondent, Richmond Hillcrest Company has paid to NASD Dispute Resolution the \$425.00 Member Surcharge previously invoiced.

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Award 02-07593

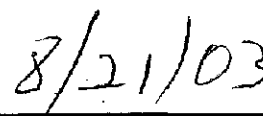
George R. Milne

Sole Non-Public Arbitrator

**AFFIRMATION**

I, George R. Milne, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.

  
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George R. Milne

  
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Signature Date

September 5, 2003

Date of Service (For NASD-DR office use only)