

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION

CASE: 02-07635

Wells Fargo Bank, N.A., Claimant vs. Glen R. Richardson, Respondent.

ATTORNEYS:

For Claimant, Wells Fargo Bank, N.A. ("Claimant") appeared Ronald S. Kravitz, Esq. of Liner Yankelevitz Sunshine & Regenstreif, LLP San Francisco, CA.

Respondent, Glen R. Richardson, ("Respondent") appeared pro. se., El Dorado Hills, CA.

DATE FILED: December 18, 2002

CASE SUMMARY: Claimant alleged that respondent breached his employment contract by failing to repay a balance of monies owed under the terms of a Promissory Note.

Claim Data

Claim: \$5,484.03
Interest: Pre-award int. of 6.15%
and post award int. at legal rate.
Attorney Fees: Unspecified
Filing Fees: \$325.00
Other: Unspecified

Award Data

Award: \$5,484.03
Interest: At the rate of 6.15% per
annum from 08-24-02 to 05-27-03.
Attorney Fees: \$1,500.00
Filing Fees: \$325.00
Other: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable and shall pay to the Claimant \$5,484.03. 2) Respondent is liable and shall pay Claimant interest at the rate of 6.15% per annum from August 24, 2002 until May 27, 2003. 3) Respondent is liable and shall pay Claimant \$1,500.00 for attorney fees pursuant to the terms of the Promissory Note. 4) All other relief requests are denied. 5) The \$325.00 filing fee previously deposited with NASD Dispute Resolution by the Claimant, shall be retained by NASD Dispute Resolution. 6) Respondent is liable and shall pay Claimant \$325.00 as reimbursement of the filing fee.

OTHER FEES: Pursuant to Rule 10333 of the Code, Wells Fargo Investments, LLC, has paid to NASD Dispute Resolution the \$325.00 Member Surcharge previously invoiced.

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Guy E. Jaques

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Sole Non-Public Arbitrator

AFFIRMATION

I, Guy E. Jaques, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.



Guy E. Jaques

6-15-03

Signature Date

July 3, 2003

Date of Service (For NASD-DR office use only)