

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION

CASE: 03-00185

Salomon Grey Financial Corp., Claimant vs. Gary Rabah, Respondent.

ATTORNEYS:

For Claimant, Salomon Grey Financial Corp., ("Claimant") appeared Belinda Goss, Dallas TX.

Respondent, Gary Rabah, ("Respondent") did not submit an answer to the statement of claim.

DATE FILED: January 9, 2003

CASE SUMMARY: Claimant alleged that Respondent failed to pay a debit balance incurred in his account after a sell-out of his 1,500 shares of Semitool Inc.

Claim Data

Claim: \$8,500.00
Filing Fees: \$750.00
Other: Unspecified

Award Data

Award: \$8,500.00
Filing Fees: \$750.00
Other: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable and shall pay to the Claimant \$8,500.00. 2) All other relief requests are denied. 3) The \$750.00 filing fee previously deposited with NASD Dispute Resolution by the Claimant, shall be retained by NASD Dispute Resolution. 4) Respondent is liable and shall pay Claimant \$750.00 as reimbursement of the filing fee.

OTHER FEES: Pursuant to Rule 10333 of the Code, Claimant, Salomon Grey Financial Corp., has paid to NASD Dispute Resolution the \$325.00 Member Surcharge previously invoiced.

OTHER ISSUES: Pursuant to the By-Laws of NASD Dispute Resolution, the arbitrator determined that Respondent, Gary Rabah was served notice of the Statement of Claim by regular mail, Overdue Notice and Notification of Arbitrator by certified mail, as evidenced by the signed signature card on file and is therefore bound by the arbitrator's ruling and determination.

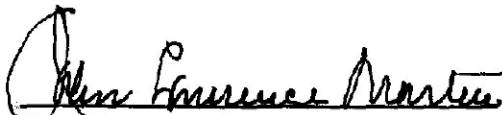
Page Two
Award 03-00185

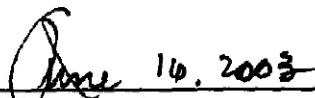
John Laurence Martin

Sole Public Arbitrator

AFFIRMATION

I, John Laurence Martin, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.


John Laurence Martin

 16. 2003
Signature Date

July 3, 2003

Date of Service (For NASD-DR office use only)