

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION

CASE: 03-00381

Arnold E. Landsman, Claimant vs. Salomon Smith Barney Inc., Respondent.

ATTORNEYS:

Claimant, Arnold E. Landsman ("Claimant") appeared pro se., Northbrook, IL.

For Respondent appeared in-house counsel, Ann Parry, Esq., New York, NY.

DATE FILED: December 9, 2002

CASE SUMMARY: Claimant alleged that Respondent was negligent in handling his account with regard to "day order only" trade. Claimant maintained that because of Respondent's actions, his account suffered a loss. Claimant's claim involved AON stock.

Claim Data

Claim: \$1,350.00
Filing Fees: \$75.00

Award Data

Award: \$.00
Filing Fees: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) The claims of Claimant are dismissed in their entirety. 2) All other relief requests are denied. 3) The \$75.00 filing fee previously deposited with NASD Dispute Resolution by the Claimant, shall be retained by NASD Dispute Resolution.

OTHER FEES: Pursuant to Rule 10333 of the Code, Respondent has paid to NASD Dispute Resolution the \$150.00 Member Surcharge previously invoiced.

Page Two
Award 03-00381

Robert E. L. Bonaparte

- Sole Public Arbitrator

AFFIRMATION

I, Robert E. L. Bonaparte, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.

Robert E. L. Bonaparte
Robert E. L. Bonaparte

6-16-03
Signature Date

July 10, 2003
Date of Service (For NASD-DR office use only)